

APPENDIX 1: 2020/21 CDC Internal Audit Plan – Progress Report

Audit	Planned Qtr Start	Status as at: 23/2/2021	Conclusion
Support with due diligence tests on Small Business Grants and Discretionary Grants	Q1	Complete	Results previously reported to July AARC
Revenues and Benefits (including debtors)	Q2	Fieldwork	
Implementation of new Finance System (design of internal controls / processes, including design of IT security controls)	Q1	Phase 1 – complete Phase 2 –complete Phase 3 – draft report	
Finance (Housing - Hardship Fund) - Discretionary Housing Payments / Hardship Fund	Q4	Fieldwork	
Cyber Security	Q1	Final Report	Amber
Payroll (including IT security controls)	Q3/Q4	Fieldwork	
Disabled Facilities Grant Processes (in addition to grant certification)	Q2	Final Report	Amber
Handover of monitoring of management action implementation	Q1	Complete	n/a
Public Sector Internal Audit Standards – compliance	Q1-Q4	In progress IA Charter – complete Annual Report of AARC – complete Review of AARC TOR – scheduled for March 2021 meeting	n/a
Disabled Facilities Grant Certification	Q2	Complete	n/a

